chemSHERPA V2R1 Overview

September 12, 2024

Joint Article Management Promotion Consortium (JAMP)



Part 1 Purpose and Overview of V2R1

Part 2 Explanation of the Data entry support tool



Part 1 Purpose and Overview of V2R1

- 1. Background and purpose of the development of V2R1
- 2. Multi-layered representation of parts in chemSHERPA
- 3. FMD(Full Material Declaration) in chemSHERPA
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- 5. Linkage from CI (chemicals) to AI (articles)
- 6. Addition of Candidate of Declarable Substances to the substance list
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1. Background and purpose of the development of V2R1

Development Background

- 1 chemSHERPA is fundamentally based on the international standard IEC62474, but a delay in the implementation of the next version is anticipated.
- ② It is determined that, to realize the concept of "Connected Chemical Information" of chemSHERPA, support functions that can further promote information communication within the framework of the current V2 are necessary.

Development Objective

To realize the concept of "Connected Chemical Information":

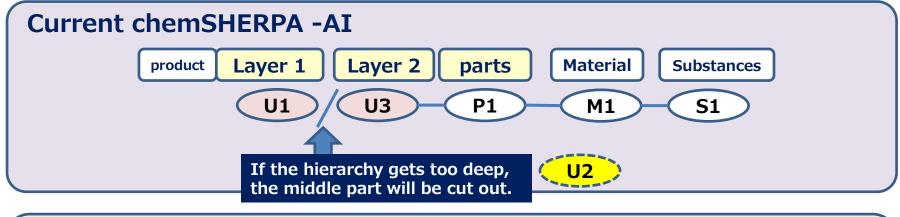
- 1 Expansion to "multi-level representation" of parts to improve compliance with IEC62474 and linkage with other schemes
- ② Addition of "FMD(Full Material Declaration)" function for companies that provide information to both automotive and electrical/electronics customers
- ③ Provision of CI→AI linkage function for manufacturers that produce initial artilces To improve utilization and convenience
 - **4** Addition of Candidate of Declarable Substances to the substance list to suppress individual company investigations before regulations are issued
 - * Regarding the online update function
 Regarding the online tool update function that was planned to be included in V2R1.00.0, we will reconsider and revise the specifications from the viewpoint of convenience.

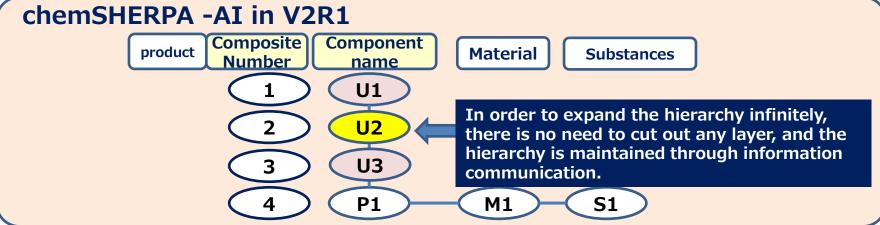
Therefore, we have decided not to include the online update function in this official version of the tool (V2R1.00.0)."



2. Multi-layered representation of parts in chemSHERPA

In the automotive industry, part hierarchies can be expressed infinitely. The current IEC 62474 schema definition also has rules that allow for the description of infinite hierarchies, and from the perspective of collaboration with the automotive industry and international standardization (future collaboration with other systems), it has become necessary to express multi-hierarchical parts hierarchies.





Multi-layering is achieved through the integration of AI, and does not require any additional work in transmitting information from the original parts.



3. FMD(Full Material Declaration) in chemSHERPA

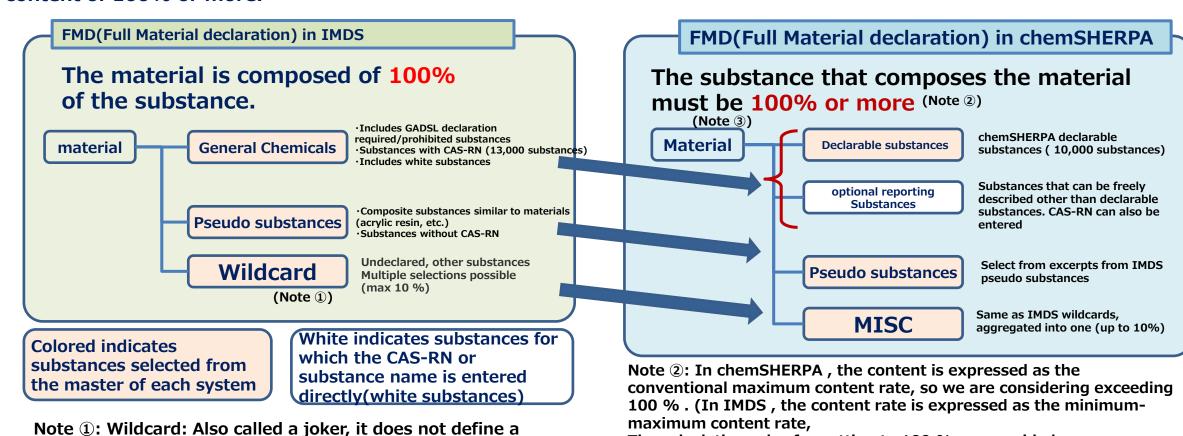
specific substance. For example, it is possible to select

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"Miscellaneous, not to declare" (MISC). It is prohibited to

include GADSL declaration required substances in wildcards or

FMD in V2R1 comply with the Full Material Declaration (FMD) of IEC62474 and enable IMDS substance expression. The selection of conventional optional reportable substances, pseudo substances, and MISC enables substances with a content of 100% or more.



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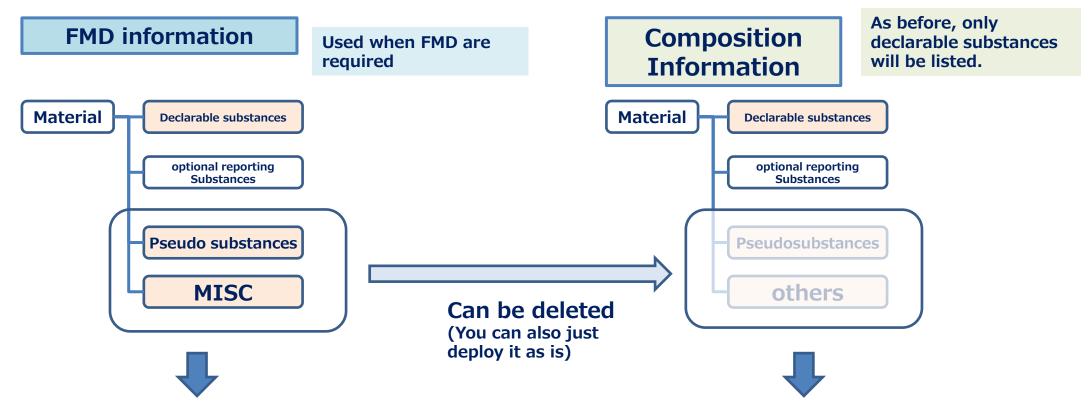
The calculation rules for getting to 100 % are provided.

Note 3: chemSHERPA uses different material expressions than

IMDS. (Does not apply to IMDS VDA material classification)

4. Conversion of FMD to Composition information

Pseudo substances and MISC in the FMD, are substances that make up 100 % or more. In the case that they are not necessary in the composition information, a function will be implemented to delete them from the composition information. The composition information will remain as it is in the past, as a means of communicating information on declarable substances.



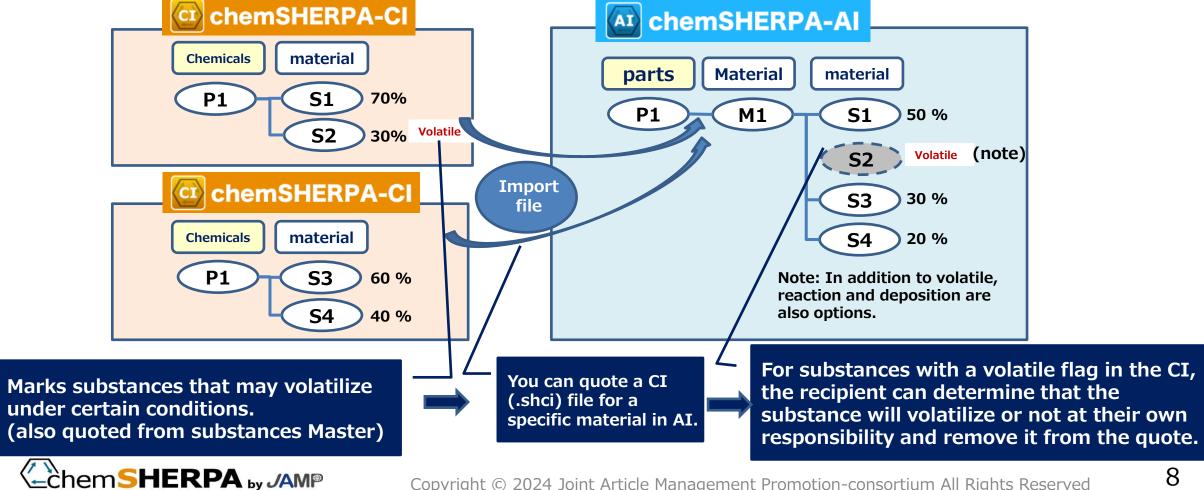
The material is composed of 100% of the substance.

No check for total content of subsgtances



5. Linkage from CI (chemicals) to AI (articles)

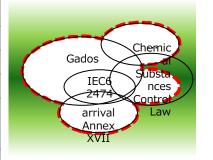
There was no linking function available when changing the composition of CI (chemicals) to the composition of AI (article) because a chemical change is involved. However, to support the transfer of information from CI to AI (linked chemical substance information), we have made it possible to link information under certain conditions.



6. Addition of Candidate of Declarable Substances to the substance list

It is a rule that publicly announced restricted substances cannot be included in the chemSHERPA declarable substances before the enforcement of the regulations. For this reason, investigations of substances before the enforcement were conducted in each company's own format, which caused a huge burden on the supply chain. (TSCA prohibited substances, etc.) From December 2022, it has been implemented as a substance recommended for voluntary reporting, but it is incorporated to the substance list as Candidate of Declarable Substances.

ID	Target laws, regulations, and industry standards
LR01	Japan Chemical Substances Control Law Class 1 specified chemical substances
LR02	Substances prohibited or restricted under the Toxic Substances Control Act (TSCA) (Article 6)
LR03	EU ELV Directive 2000 / 53 / EC
LR04	EU RoHS Directive 2011/65/EU Annex II
LR05	EU POPs Regulation (EC) No 850/2004 Annex I
LR06	EU REACH Regulation (EC) No 1907/2006 Candidate list of substances (substances on the list of candidates for authorisation) and Annex XIV (substances subject to authorisation)
LR07	EU REACH Regulation (EC) No 1907/2006 Annex XVII (Restricted Substances)
LR08	EU Medical Device Regulation (MDR) (EU) No 2017/745 Annex I 10.4.1.(a) and 10.4.1.(b)
LR09	China RoHS (China) Electronic Equipment Restriction and Management of the Use of Hazardous Substances in Electronic Equipment
IC01	Global Automotive Declarable Substance List (GADSL)
IC02	IEC 62474 DB Declarable Substance Groups and Declarable Substances



The total (union) of chemical substances that meet the management standard is called a declarable substance.

What is Candidate of Declarable Substances?

chemSHERPA management standards that are subject to legal regulations (currently LR01 to LR09), chemical substances in the draft stage are recommended to be subject to voluntary investigation of content information to the extent necessary in the supply chain before they are added to the standards, and are selected by JAMP based on applications from JAMP members.

Added specifications for adding to the substance list (as of tool V2R1.00.0, no substances can be added as Candidate of Declarable Substances)



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7.Q&A about V2R1

no	Questions about V2R1	Answer
1	Why V2R1 ?	We had planned to comply with the ISO/IEC international standard (V3), but since a delay in its implementation is anticipated, we decided to make the necessary functional enhancements.
2	Will companies that only require the composition information now require to provide Full Material Declaration (FMD)?	It is thought that many downstream electric and electronic companies will not require Full Material Declaration (FMD). In this case, we will state in the "chemSHERPA usage rules," that they will request surveys using the conventional composition information, and request this of JAMP member companies.
3	Can V2R1 be linked to IMDS?	There is insufficient information for complete IMDS integration, so it will be used on the premise that information will be supplemented.
4	What measures will be taken to further popularize chemSHERPA with V2R1?	We plan to conduct a wide range of trials for general users before the release, so that they can actually operate it and understand it. After the release, we will also implement education through various educational courses and seminars, and efforts to ensure proper information communication.



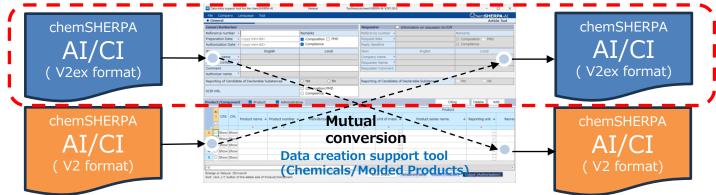
Part 2 Explanation of the Data entry support tool

- 1. Data format (format version)
- 2. Multi-layered representation of parts
- 3. Communication in FMD
- Definition of Conversion Substances in CI and linking to AI
- 5. Adding Candidate of Declarable Substances to the substance list



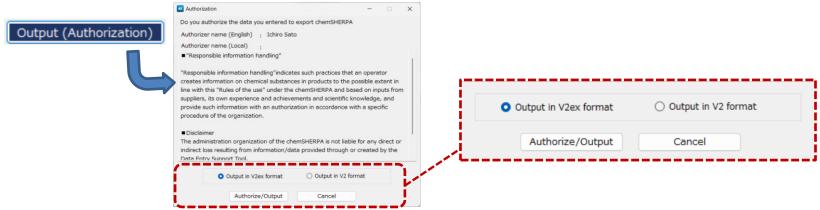
1-1 . About data format (format version) : Supported data formats

- •The data format handled by chemSHERPA V2R1 will be the V2ex format.
- •In order to avoid confusion in the supply chain after the release of the V2ex format, the data entry support tool V2R1will still allow input and output in the conventional V2 format for the time being.



- ·V2 format: A chemSHERPA format that complies with IEC62474 ed2 2018 (schema version x8.00) and limits the number of part hierarchies.
- V2ex format: A chemSHERPA format that complies with IEC62474 ed2 2018 (schema version x8.00) and excludes restrictions on the number of part hierarchies

*When loading data, the tool will automatically distinguish between V2ex and V2 formats. When outputting data, select on the screen whether to output in V2ex or V2 format. (The default is V2ex format)





1-2. Data format (format version): Identifying and displaying data formats

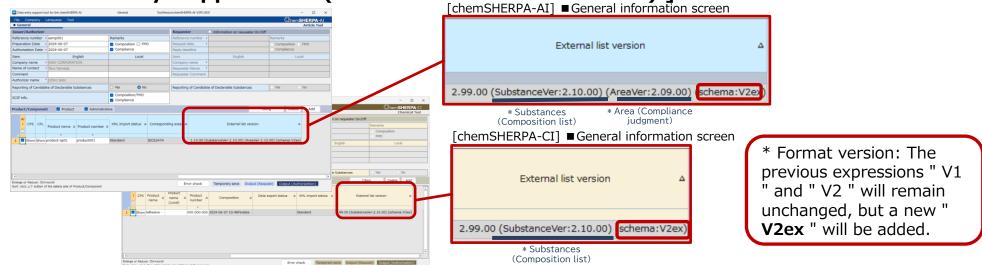
The external list version and output format name (V2ex/V2) are included in the default file name so that you can identify which version of the chemSHERPA data file is in.
 In addition, after loading into the Data Entry Support Tool, the data format is displayed on the screen*.
 *The actual format will be determined by checking the contents of the data.

[File name naming rules (default)]

Identifier_Issuer Reference Number_External List Version_Output Format_Output Date Hour Minute Second.Extension

ex) article V2ex format: SHAI_999999999992_2.10.00_V2ex_20240701161928.shai

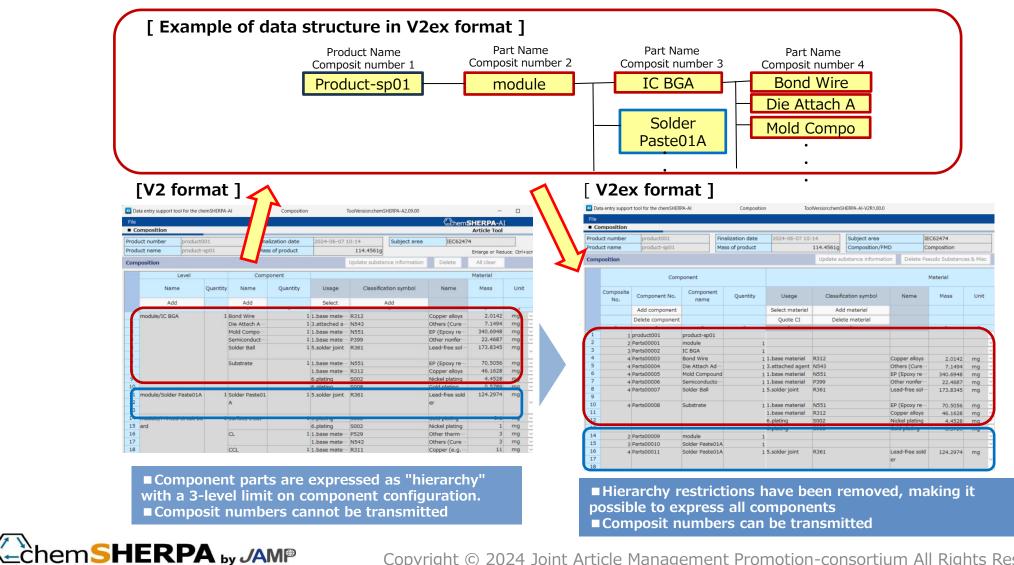
[Check with the Data Entry Support Tool (General Information Screen)]





2-1. Multi-layered representation of parts

the previous V2 format, which had a "three -level limit," to a data structure that eliminates the level limit.



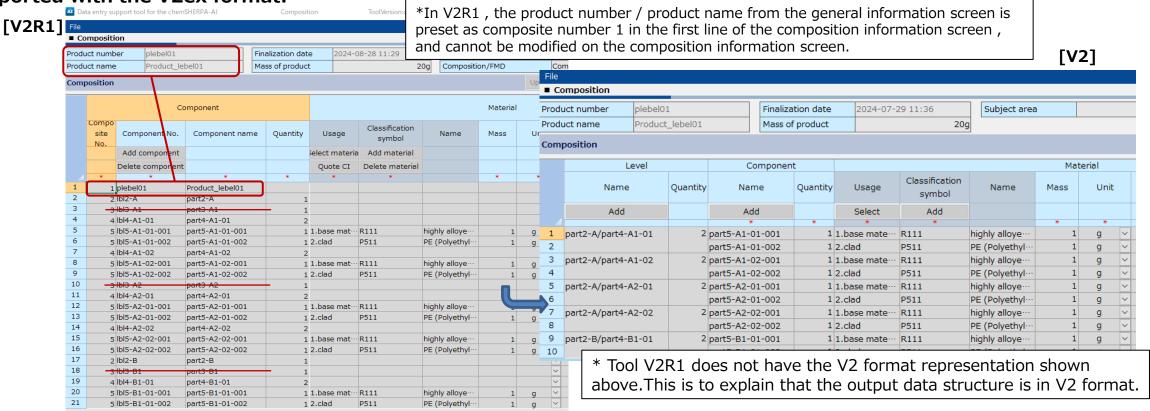
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2-2. Multi-layered representation of parts (Exporting V2 format data)

① Output of V2 format data: The components are expanded into hierarchical information. If the hierarchical limit is exceeded, the number is adjusted after hollowing out.

XThis is a temporary measure that allows V2 format data to be output if an individual company's system is not yet

supported with the V2ex format.



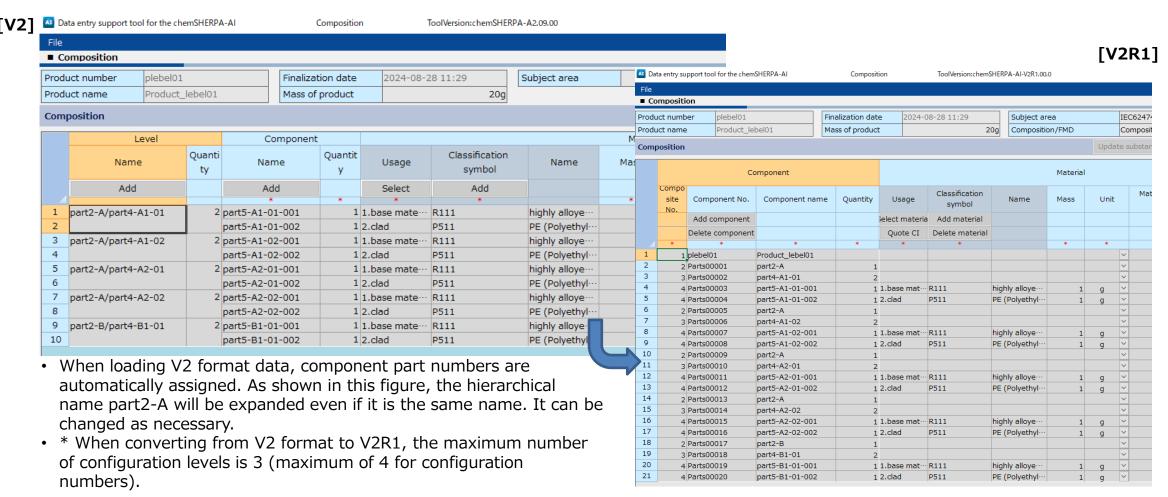
[Caution] When outputting in V2 format, components in the middle level of the composition information may be aggregated to the higher level.

In addition, the aggregation may reduce the "level" mass, and the "Content rate in an article" with the "level" mass as the denominator may change (increase). *If the Article flag is set for an end part (or material), there is no effect because it is calculated with the denominator of that part (or material). For details, please refer to "chemSHERPA_Technical_Guide". https://chemsherpa.net/english/tool#sample



2-3. Multi-hierarchical representation of parts (Reading V2 format data)

2 Read V2 format data: Expands hierarchical information into components and adjusts the number of parts.



^{*} When integration, if the source of integration is V2, it will be automatically converted to V2ex (decomposed into hierarchies) and then integrated, so there is no need to convert it in advance.



3-1. Communication in FMD

<u>Full Material Declaration (FMD) is the expression where all materials are declared, all substances are declared or declared by anonymous identifying information.</u>

At present, chemSHERPA is intended for communication with the automotive industry and consists of Declarable substances (including Candidates), Voluntary reportable substances, pseudo substances, and MISC. For chemicals, the content rate must be 100% or more per product, and for articles, the content rate must be 100% or more for each material.

	material	Composition Information	Compliance Information	FMD
1	Declarable substances	0	○ IEC 62474 only	0
2	Candidate of Declarable Substances	△Optional	×	△Optional
3	Voluntarily Reportable Substances	△Optional	×	△Optional
4	Pseudo substances *1	×	×	△Optional
5	MISC※2	×	×	△Optional
	Total of reported Substances	No Limit	No limit	100% or more
		The second second	Composition format can be converted using tools	
			After converting the composition format using the tool, Additional substances required	

O: Report required

 \triangle : Optional

x : Not applicable

Refers to chemical substances or groups of chemical substances that do not have a CAS number but can accurately represent the relevant substance. For example, "acrylic resin". Note that these are actual chemical substances and are not wildcards. (From the IMDS user manual). They are cited from the Japan Auto Parts Industries Association's CAS-free substances excluding those that fall under chemSHERPA Declarable substances. In chemSHERPA, pseudo-substances must not include Declarable substances.

*2 What is MISC?

Not to declare / Miscellaneous refers to substances that are not to be declared. MISC should not include Declarable substances. It must be less than 10% per material.



^{*1} Pseudo-substances:

3 -2. Communication in FMD

When intending to communicate Substances in FMD, declare "FMD" as the information to be communicated.

9.1.	data	preparation	and	transfer	using	FMD
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- Requesters can request FMD when there is a special reason, such as use in automotive applications. If there is no special reason agreed upon in B2B, submission in FMD should not be requested.
- Respondents will submit FMD when requested by product suppliers for special reasons, such as use in automotive applications.

(Quoted from 9.1. of the chemSHERPA Usage Rules)

[chemSHERPA-AI] General information screen

File Company Language Tool				
■ General				
Issuer/Authorizer				
Reference number *		Remarks		
Preparation Date *	<yyyy-mm-dd></yyyy-mm-dd>	☐ Composition FMD		
Authorization Date *	<yyyy-mm-dd></yyyy-mm-dd>	Compliance		
Item	English	Local		
Company name *				
Name of contact *				
Comment				
Authorizer name *				
Reporting of Candidat	te of Declarable Substances	○ Yes ○ No		
SCIP info.		Composition/FMD Compliance		

[chemSHERPA-CI] General information screen

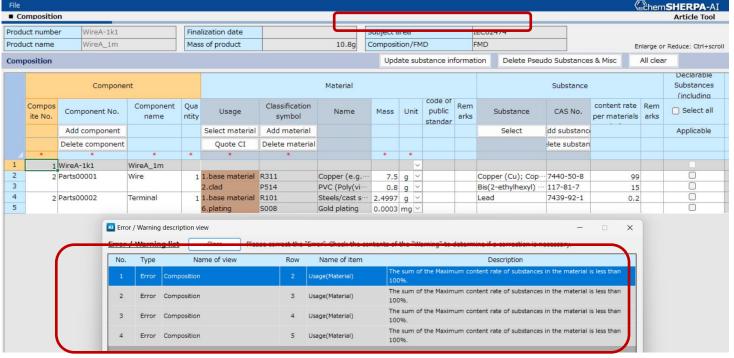
File Company La	nguage Tool			
■ General	■ General			
Issuer/Authorizer				
Reference number *		Remarks		
Preparation Date *	<yyyy-mm-dd></yyyy-mm-dd>	Composition		
Authorization Date *	<yyyy-mm-dd></yyyy-mm-dd>	✓ FMD		
Item	English	Local		
Company name *				
Name of contact *				
Comment				
Authorizer name *				
Reporting of Candidat	te of Declarable Substances	○ Yes ○ No		



3 -3. Communication in FMD

By declaring "FMD" as the information to be communicated, an input check* will be carried out according to the conditions required for FMD.

*In terms of composition information, the content rate of each material, excluding MISC, must be 90% or more, etc.



*Points to note when declaring "FMD"

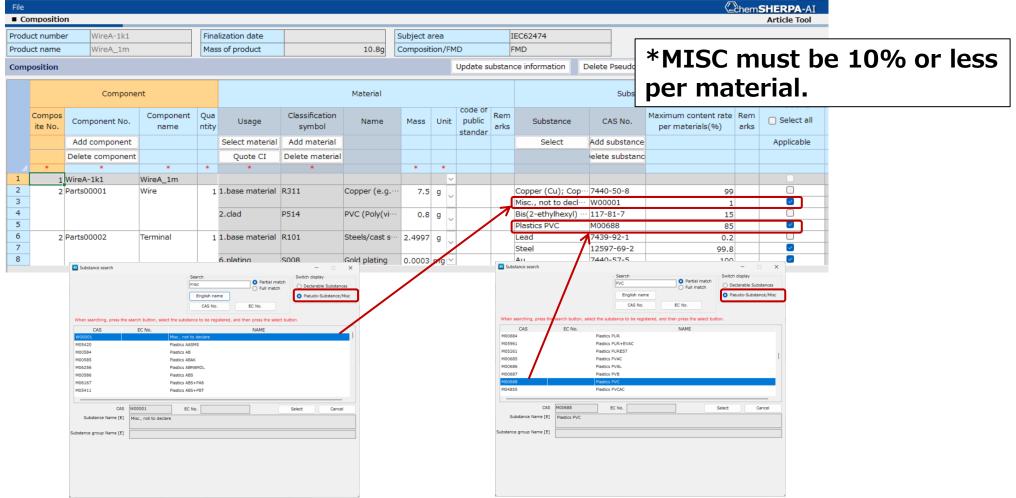
_chem**SHERPA** by **JAM**₽

- For optional reporting substances other than chemSHERPA Declarable substances, as with "composition", do not select from the substance list, but enter the substance information yourself.
- MISC and automobile-related pseudo-substances are set as substance list data from V2R1, so select them from the list, just like Declarable substances. chemSHERPA pseudo-substances are selected from pseudo-substances used in the automobile-related supply chain (excluding those that fall under chemSHERPA Declarable substances), so please use them in accordance with automobile-related information communication.
- For chemicals, the content rate per product must be 100% or more, and for articles, the content rate per material must be 100% or more. However, Declarable substances must not be included in __pseudo substances or MISC.

3 -4. Communication in FMD

For FMD, the substance must be listed at 100% or more for each material.

For optional reporting substances, you do not select from a substance list but enter the substance information yourself. MISC and pseudo-substances can be selected from the substance search screen as shown below.

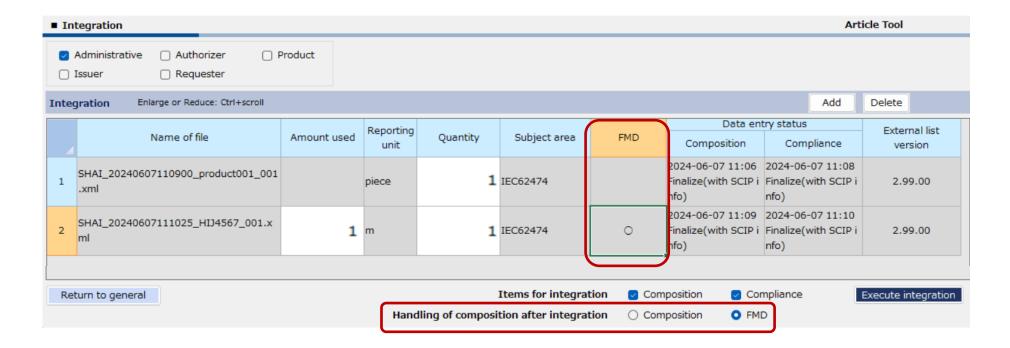




3 -5. Communication in FMD

When integration, select whether the post-integration treatment will be "FMD" or "composition information."

You can determine whether the data loaded as the target for integration was created as "FMD" or "Composition
Information" by the identification information. If "FMD" and "Composition" data are mixed for the target for integration, if
you select "FMD" for the treatment after integration, you will need to add other composition information to make the
"Composition" data meet the conditions for "FMD".



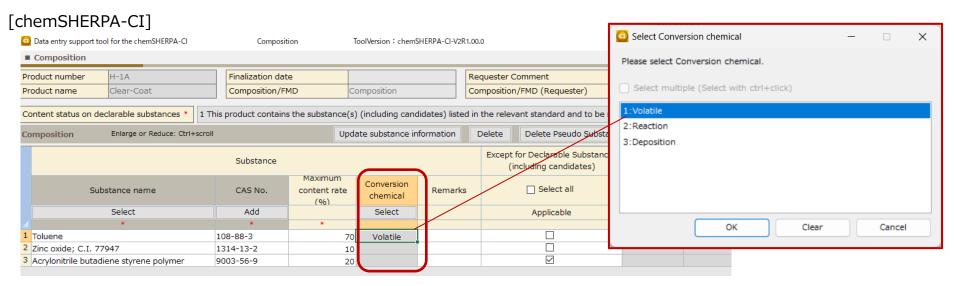


4 -1. Definition of Conversion Substances in CI and linking to AI

chemSHERPA-CI (chemical products) allows you to define and communicate Conversion Substances (potential) in the composition information.

The available settings are "volatile," "reaction," and "Deposition."

If there are any specific uses or conditions for the converted material, they can be noted in the "Remarks" field.



Substances for which options can be set

[Volatilization] refers to the property of easily evaporating and becoming a gas in the atmosphere. Set this for substances that are added with the expectation that they will volatilize in the intended use.

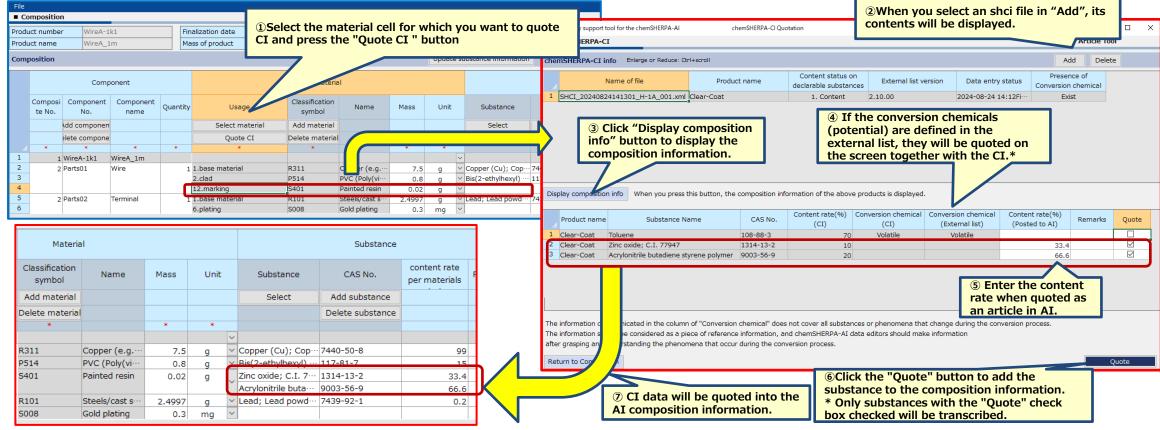
[Reaction] refers to a change in which chemical bonds change to produce a substance with different properties. Set this for substances that are added with the intention that they will react with other substances or change properties under specific conditions.

[Deposition] refers to the phenomenon in which a substance in a state other than solid appears as a solid. Set this for substances that are intended to deposit a specific solute as a solid from a solution.



4 -2. Definition of Conversion Substances in CI and linking to AI

chemSHERPA-AI (Articles) allows you to quote data from chemSHERPA-CI (chemical products).



^{*} Currently, only "Volatilization" is selected from the options "Volatilization", "Reaction", and "Depposition" for the Conversion Substances (potential) on the substance list.

The selection of volatile substances is based on the following list published in NITE-CHRIP, and does not cover all substances on the substance list.

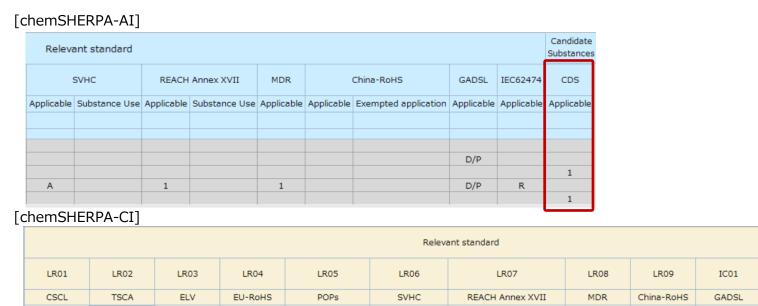
- · Industrial Safety and Health control Law: Substances designated as special organic solvents among those subject to the Special Law.
- · Industrial Safety and Health control Law: Substances subject to the Organic Law
- · Air Pollution Control Act VOC (Volatile Organic Compounds)



5-1. Adding Candidate of Declarable Substances to the substance list

As the draft candidate substances are incorporated into the substance list as " Candidate of Declarable Substances"*, a new identifier (CDS)* will be added to the composition information screen.

*No "Candidate of Declarable Substances" have been added in Tool V2R1.00.0.



^{*} What is a Candidate of Declarable Substances (CDS)?

CDS refers to a chemical substance selected by JAMP as a chemical substance for which it is recommended to investigate the content information to the extent necessary in the supply chain before the draft chemical substance is added to the standard that is subject to legal regulations among the chemSHERPA management criteria.

Therefore, Candidate of Declarable Substances do not fall under the category of Declarable substances.



Candidate

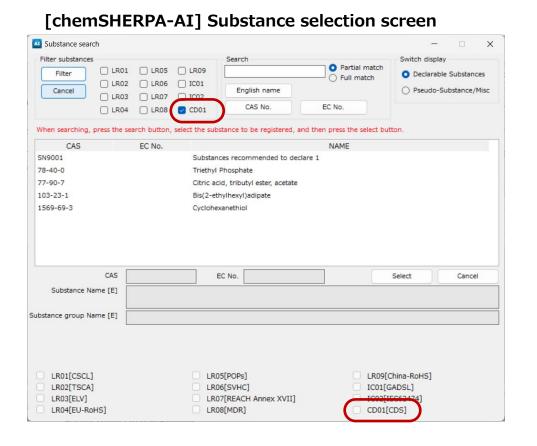
CD01

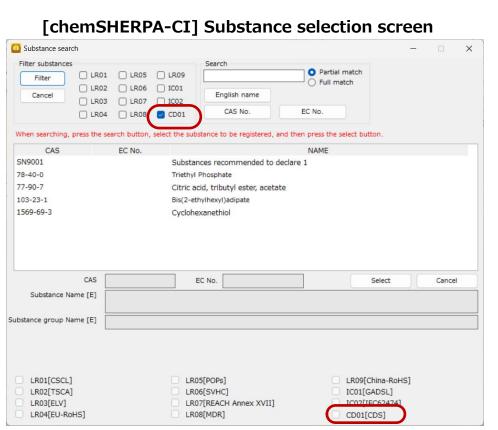
IC02

IEC62474

5-2. Adding Candidate of Declarable Substances to the substance list

Candidate of Declarable Substances can be searched/narrowed down just like other legal regulations/industry standards.

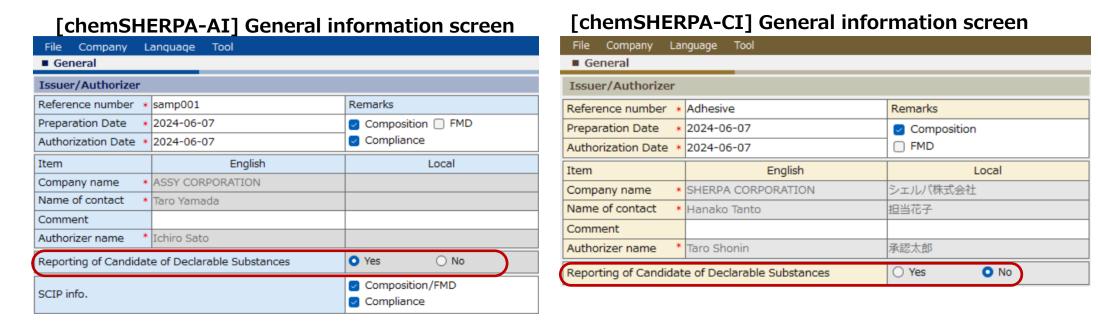






5-3. Adding Candidate of Declarable Substances to the substance list

Reporting on Candidate of Declarable Substances remains voluntary, but a new "declaration" will be added to communicate downstream whether or not the confirmation of the presence of Candidate of Declarable Substances was intentional.



- -If there are no "Candidate of Declarable Substances" (not included in the substance list), there is no need to select the declaration (No error check will be performed).
- -If there are "Candidate of Declarable Substances" (included in the substance list), an error will occur if the declaration is not selected.



